



Attorney Docket # 5405-8

10-28-04

IFW

By Express Mail #EV 601220636US - October 27, 2004

Patent

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

Mitsuru MIMORI et al.

Serial No.: 10/666,518

Filed: September 19, 2003

For: OPTICAL ELEMENT, OBJECTIVE OPTICAL ELEMENT AND OPTICAL PICKUP DEVICE

Examiner:  
Group Art: 2655

MAIL STOP AMENDMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

SIR:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed form PTO/SB/08A. Copies of the listed documents (other than the U.S. patents) are also enclosed.

The references submitted herewith have been discussed on page 3 of the instant specification.

JP 2001-93179 corresponds to USP 6,449,095 B1; JP 2000-81566 corresponds to USP 6,118,594 (previously submitted with IDS filed May 7, 2004), and WO 98/19303 corresponds to USP 6,552,990. These foreign documents are mentioned on page 3 of the specification.

By Express Mail #EV 601220636US - October 27, 2004

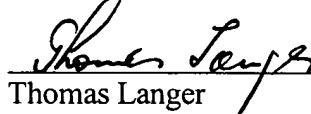
It is respectfully requested that the above information be considered by the Examiner and that the copy of the enclosed form PTO/SB/08A be returned indicating that such information has been considered.

In accordance with 37 C.F.R §§1.97(g) and (h), the filing of this Information Disclosure Statement should not be construed as a representation that a search has been made or that information cited is, or is considered to be, material to patentability as defined in §1.56(b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise indicated, the date of publication indicated for an item is taken from the face of the item and Applicant(s) reserve(s) the right to prove that the date of publication is in fact different.

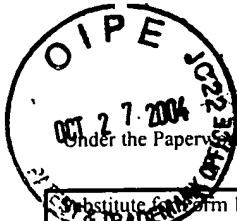
If any fees or charges are deemed required at this time in connection with the application, the same may be charged to our Patent and Trademark Office Deposit Account No. 03-2412.

Respectfully submitted,  
COHEN, PONTANI, LIEBERMAN & PAVANE

By:

  
Thomas Langer  
Reg. No. 27,264  
551 Fifth Avenue, Suite 1210  
New York, New York 10176  
(212) 687-2770

Dated: October 27, 2004



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for Form 1449/PTO

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)		<b>Complete if Known</b>	
		Application Number	10/666,518
		Filing Date	September 19, 2003
		First Named Inventor	Mitsuru MIMORI
		Art Unit	2655
		Examiner Name	
Sheet	1	of	1
		Attorney Docket Number	5405-8

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> Kind-Code <sup>5</sup> (if known)				
		JP 9-54973	02-25-1997	Ryuichi KATA YAMA		Abstract
		JP 9-306018	11-28-1997	Abe TSUGUHIRO		Abstract
		WO 98/19303	05-07-1998	YAMADA et al.		Abstract only
		JP2001-93179	04-06-2001	Pioneer Corp.		Abstract only
		JP2001-81566	03-21-2000	Asahi Optical		Abstract only

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \*Applicant's unique citation designation number (optional). \*See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. \*Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. \*Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.